

**COOPERATIVE AGREEMENT MODIFICATION**

Agreement/Appendix Title and Number: **W912PQ-16-2-1002**

Project Name/Description and Location: **ARNG Environmental Programs Resources Management**

Modification Number: **P0004**

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE:

| Action | Funding Source                 |      | From                 | Change              | To                   |
|--------|--------------------------------|------|----------------------|---------------------|----------------------|
| FED    | Allotment                      | 100% | \$ 694,750.00        | \$157,000.00        | \$ 851,750.00        |
|        |                                | 75%  | \$ 68,250.00         | \$0.00              | \$ 68,250.00         |
|        | <b>Total Federal Allotment</b> |      | <b>\$ 763,000.00</b> | <b>\$157,000.00</b> | <b>\$ 920,000.00</b> |
| FED    | AFP                            | 100% | \$ 694,750.00        | \$169,400.00        | \$ 864,150.00        |
|        |                                | 75%  | \$ 68,250.00         | \$0.00              | \$ 68,250.00         |
|        | <b>Total Federal AFP</b>       |      | <b>\$ 763,000.00</b> | <b>\$169,400.00</b> | <b>\$ 932,400.00</b> |
| FED    | In-Kind Assistance (IKA)       |      | \$ 120,000.00        | \$0.00              | \$ 120,000.00        |
| STATE  | Allotment - State              | 25%  | \$ 22,750.00         | \$0.00              | \$ 22,750.00         |
| STATE  | AFP - State                    | 25%  | \$ 22,750.00         | \$0.00              | \$ 22,750.00         |

**DESCRIPTION OF MODIFICATION:**

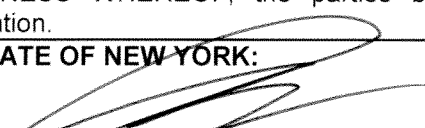
1. JUSTIFICATION: Increase AFP; upward obligation for 3rd Quarter funding.
2. SUMMARY: Increase AFP in the 100% Federal cost share; Add \$157,000 Allotment to 100% Federal cost share. In-Kind Assistance remains the same at \$120,000.00.
3. ACTION: TAG signature and review of the Environmental Programs Resources Management Appendix, MOD 4. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709.
4. This Appendix is subject to the availability of funds.
5. Replace pages 8-9 of Appendix 1002, FY 16 with updated attachment.
6. As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

**EXECUTION**

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

**THE STATE OF NEW YORK:**

BY:   
 ANTHONY P. GERMAN  
 Major General, NYANG  
 The Adjutant General

Date: 6 May 16

**NATIONAL GUARD BUREAU:**

BY:   
 LAWRENCE J. ASHLEY  
 Colonel, USA  
 USPFO for New York

Date: 6 May 16

**APPROVED AS TO LEGAL FORM:**

BY:   
 Counsel Robert G. Conway, Jr., MNLA

Date: 25 April 2016

**APPROVED AS TO LEGAL FORM:**

BY:   
 Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 25 April 2016

October 2015

1. Fiscal Year 2016:

|                      | Approved Budget/(AFP)                           | Total Dollars Obligated |
|----------------------|---|-------------------------|
| Federal Share (100%) | \$ 864,150.00                                   | \$ 851,750.00           |
| Federal Share (75%)  | \$ 68,250.00                                    | \$ 68,250.00            |
| Federal Total        | \$ 932,400.00                                   | \$ 920,000.00           |
|                      | Accomplished as In-Kind Assistance \$120,000.00 |                         |
| Grantee Share (25%)  | \$ 22,750.00                                    | \$ 22,750.00            |
| Grantee Total        | \$ 22,750.00                                    | \$ 22,750.00            |

2. Fiscal Year \_\_\_\_\_:

|                      | Approved Budget/(AFP)                       | Total Dollars Obligated |
|----------------------|---|-------------------------|
| Federal Share (100%) | \$ _____                                    | \$ _____                |
| Federal Share (75%)  | \$ _____                                    | \$ _____                |
| Federal Share (50%)  | \$ _____                                    | \$ _____                |
| Federal Total        | \$ _____                                    | \$ _____                |
|                      | Accomplished as In-Kind Assistance \$ _____ |                         |
| Grantee Share (50%)  | \$ _____                                    | \$ _____                |
| Grantee Share (25%)  | \$ _____                                    | \$ _____                |
| Grantee Total        | \$ _____                                    | \$ _____                |

3. Fiscal Year \_\_\_\_\_:

|                      | Approved Budget/(AFP)                       | Total Dollars Obligated |
|----------------------|---|-------------------------|
| Federal Share (100%) | \$ _____                                    | \$ _____                |
| Federal Share (75%)  | \$ _____                                    | \$ _____                |
| Federal Share (50%)  | \$ _____                                    | \$ _____                |
| Federal Total        | \$ _____                                    | \$ _____                |
|                      | Accomplished as In-Kind Assistance \$ _____ |                         |
| Grantee Share (50%)  | \$ _____                                    | \$ _____                |
| Grantee Share (25%)  | \$ _____                                    | \$ _____                |
| Grantee Total        | \$ _____                                    | \$ _____                |

4. Fiscal Year \_\_\_\_\_:

|                      | Approved Budget/(AFP)                       | Total Dollars Obligated |
|----------------------|---|-------------------------|
| Federal Share (100%) | \$ _____                                    | \$ _____                |
| Federal Share (75%)  | \$ _____                                    | \$ _____                |
| Federal Share (50%)  | \$ _____                                    | \$ _____                |
| Federal Total        | \$ _____                                    | \$ _____                |
|                      | Accomplished as In-Kind Assistance \$ _____ |                         |
| Grantee Share (50%)  | \$ _____                                    | \$ _____                |
| Grantee Share (25%)  | \$ _____                                    | \$ _____                |
| Grantee Total        | \$ _____                                    | \$ _____                |

5. Fiscal Year \_\_\_\_\_:

|                      | Approved Budget/(AFP)                       | Total Dollars Obligated |
|----------------------|---|-------------------------|
| Federal Share (100%) | \$ _____                                    | \$ _____                |
| Federal Share (75%)  | \$ _____                                    | \$ _____                |
| Federal Share (50%)  | \$ _____                                    | \$ _____                |
| Federal Total        | \$ _____                                    | \$ _____                |
|                      | Accomplished as In-Kind Assistance \$ _____ |                         |
| Grantee Share (50%)  | \$ _____                                    | \$ _____                |
| Grantee Share (25%)  | \$ _____                                    | \$ _____                |
| Grantee Total        | \$ _____                                    | \$ _____                |

**Section 210. Agreement Particulars.**

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

|  |   |
|--|---|
| a. Grantee/Recipient Category:   | Government  |
| b. Grantee/Recipient Type:   | State Government                                  |
| c. Grantee/Recipient DUNS:   | 044776024   |
| d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form):<br>(To include Zip + 4) | New York, 12110-3514                              |
| e. Grantee/Recipient County<br>(Primary Place of Performance):   | Albany  |
| f. Grantee/Recipient Congressional District<br>(Primary Place of Performance):                                   | 36  |
| g. Major Agency:   | DOD   |
| h. Agency Code:  | 2100  |
| i. Funding Agency:   | Army  |
| j. Program Source Agency:  | 21  |
| k. Transaction Type:   | Cooperative Agreement                             |
| l. CFDA:   | 12.401  |
| m. CFDA Program Title:   | Operation and Maintenance,<br>Army National Guard |
| n. Program Source Account-Funding:   | 2065  |
| o. Treasury Appropriation Code:  | 2065  |
| p. Award/Obligation/Action Date:   | 1 Oct 2015  |
| q. Starting Date:  | 1 Oct 2015  |
| r. Ending Date:  | 30 Sep 2016                                       |
| s. Record Type:  | Individual Action                                 |
| t. Fiscal Year/Quarter:  | 2016/3rd Qtr                                      |
| u. Unique Federal Award Identification Number (FAIN)   | W912PQ-16-2-1002                                  |
| v. Approved Budget Amount:   | \$932,400.00                                      |
| w. R&D Award (Yes or No)   | No  |
| x. Indirect Cost Rate or CPP Rate:   | \$ 1,968.00                                       |

## MEMORANDUM FOR MNPF, CW3 Davin

SUBJECT: Request to Modify Master Cooperative Funding Agreement (CFA),  
W912PQ-16-2-1002, Appendix 2 (Environmental)

1. Request that modification request #3 be issued to Appendix #2 of the Master CFA for Fiscal Year 2016 to reflect additional AFP in the amount of \$169,400.00 changing AFP from \$763,000.00 to \$932,400.00 and third quarter Allotment in the amount of \$157,000.00 changing Allotment from \$763,000.00 to \$920,000.00.


a. Section 207. Funding Limitation is changed as follows:

|                      | (AFP)<br>Estimated Cost | (Allotment)<br>Maximum Funding Limitation |
|----------------------|-------------------------|---|
| Federal Share (100%) | \$ 864,150.00           | \$ 851,750.00                             |
| Federal Share (75%)  | \$ 68,250.00            | \$ 68,250.00                              |
| Federal Share (50%)  | \$                      | \$  |
| Federal Total        | \$ 932,400.00           | \$ 920,000.00                             |
| State Share (50%)    | \$                      | \$  |
| State Share (25%)    | \$ 22,750.00            | \$ 22,750.00                              |
| Program Income       | \$                      | \$  |
| State Total          | \$ 22,750.00            | \$ 22,750.00                              |
| Grand Total          | \$ 955,150.00           | \$ 942,750.00                             |

b. Within the Federal Share indicated above \$120,000.00 is reserved and designated specifically for in-kind assistance through Federal procurement procedures. The use of in-kind assistance shall be at the request of the Adjutant General as approved by the USPFO. NGB approval is not necessary.

2. This appendix is subject to the availability of funds.

3. The POC on this issue is SGT Nicole Richardson, and can be reached at extension 6117.

  
D. SCOTT CLEVELAND  
COL, EN, NYARNG  
Program Director (CFMO)

CF:  
MNBF, Liz Halpin