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**COOPERATIVE AGREEMENT MODIFICATION**

Agreement/Appendix Title and Number: **W912PQ-16-2-1023**

Project Name/Description and Location: **ANG Security Cooperative Agreement**

Modification Number: **P0003**

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE

Action	Funding Source	From	Change	To
FED	Allotment	\$571,000.00	\$2,024,000.00	\$2,595,000.00
FED	AFP	\$2,360,600.83	\$234,399.17	\$2,595,000.00

**DESCRIPTION OF MODIFICATION:**

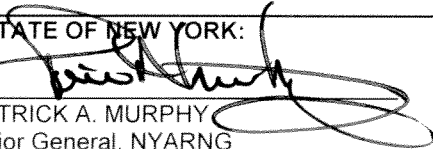
1. JUSTIFICATION: Upward Obligation, Appendix 1023, ANG Security Cooperative Agreement for Fiscal Year 2016.
2. SUMMARY: Add Federal Allotment; Adjust AFP to reflect program totals as funded by NGB.
3. RECOMMENDATION: TAG signature and review of the ANG Security Cooperative Agreement Appendix 1023, MOD 3 Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775/4709...
4. This Appendix is subject to the availability of funds.
5. Replace pages 5 and 6 of Appendix 1023, FY 16 with updated attachment.
6. As FY2016 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

**EXECUTION**

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

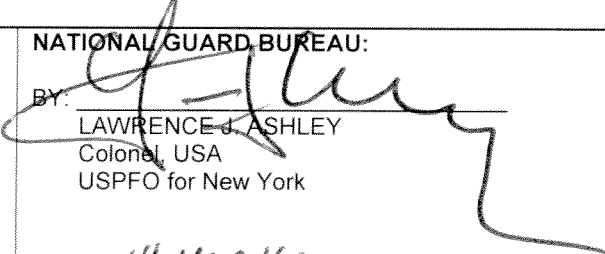
IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:

BY:   
 PATRICK A. MURPHY  
 Major General, NYARNG  
 The Adjutant General

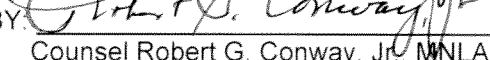
Date: 12 Mar 16

NATIONAL GUARD BUREAU:

BY:   
 LAWRENCE J. ASHLEY  
 Colonel, USA  
 USPFO for New York

Date: 14 Mar 16

APPROVED AS TO LEGAL FORM:

BY:   
 Counsel Robert G. Conway, Jr, MNLA

Date: 10 March 2016

APPROVED AS TO LEGAL FORM:

BY:   
 Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 9 March 2016

**Section 2309. Funding Limitations.**

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the State for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the State. Funds shall be obligated as received by the CA PM.

c. The following funding limitations are provided for each fiscal year as it occurs.

1. Fiscal Year <b>2016</b> :	Approved Budget/(AFP) \$2,595,000.00	Total Dollars Obligated \$2,595,000.00
2. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
3. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
4. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
5. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____

**Section 2310. Agreement Particulars.**

The information below shall be recorded by the Grants Officer's Representative (GOR) for compliance with the reporting requirements of the DoD Assistance Award Action Report System (DAADS) and the Federal Funding Accountability and Transparency Act of 2006.

- a. Grantee/Recipient Category: Government
- b. Grantee/Recipient Type: State Government
- c. Grantee/Recipient DUNS: 044776024
- d. Primary Place of Performance (if different from 'Issued To' on CA Modification Form):  
(To include Zip + 4) New York, 12110-3514
- e. Grantee/Recipient County  
(Primary Place of Performance): Albany
- f. Grantee/Recipient Congressional District  
(Primary Place of Performance): 36
- g. Major Agency: DOD
- h. Agency Code: 5700
- i. Funding Agency: Air
- j. Program Source Agency: 57
- k. Transaction Type: Cooperative Agreement
- l. CFDA: 12.401
- m. CFDA Program Title: Operation and Maintenance,  
Air National Guard
- n. Program Source Account-Funding: 3840
- o. Treasury Appropriation Code: 3840
- p. Award/Obligation/Action Date: 1 Oct 2015
- q. Starting Date: 1 Oct 2015
- r. Ending Date: 30 Sep 2016
- s. Record Type: Individual Action
- t. Fiscal Year/Quarter: 2016/2<sup>st</sup> Qtr

u. Unique Federal Award Identification Number (FAIN)	W912PQ-16-2-1023
v. Approved Budget Amount:	\$2,595,000.00
w. R&D Award (Yes or No)	No
x. Indirect Cost Rate or CPP Rate:	\$ 8,631.00

**MODIFICATION REQUIREMENTS FOR  
AIR GUARD FY-16  
AIR BASE SECURITY AGREEMENT  
CONTRACT NUMBER W912PQ-16-2-1023**

**MOD 3 - AFP/Allotment**

**AS OF:**

**3/4/2016**

		CURRENT ALLOTMENT	CHANGE	NEW ALLOTMENT
GABRESKI	100% FEDERAL	\$ 179,500.00	636,300.00	\$ 815,800.00
	TOTAL FEDERAL	\$ 179,500.00	636,300.00	\$ 815,800.00
IKA		\$ -	0.00	
106th AFP:	\$815,800.00	\$ 815,337.58	462.42	\$ 815,800.00
HANCOCK	100% FEDERAL	\$ 75,200.00	266,400.00	\$ 341,600.00
	TOTAL FEDERAL	\$ 75,200.00	266,400.00	\$ 341,600.00
174th AFP:	\$341,600.00	\$ 107,463.18	234,136.82	\$ 341,600.00
STRATTON	100% FEDERAL	\$ 127,000.00	450,200.00	\$ 577,200.00
	TOTAL FEDERAL	\$ 127,000.00	450,200.00	\$ 577,200.00
109th AFP:	\$577,200.00	\$ 577,125.07	74.93	\$ 577,200.00
STEWART	100% FEDERAL	\$ 189,300.00	671,100.00	\$ 860,400.00
	TOTAL FEDERAL	\$ 189,300.00	671,100.00	\$ 860,400.00
105th AFP:	\$860,400.00	\$ 860,345.00	55.00	\$ 860,400.00
<b>GRAND TOTAL (ALL BASES)</b>				
	100% FEDERAL	\$ 571,000.00	2,024,000.00	\$ 2,595,000.00
	TOTAL FEDERAL	\$ 571,000.00	2,024,000.00	\$ 2,595,000.00
	TOTAL IKA (106th)	\$ -	0.00	\$ -
FED AFP Total:	\$2,595,000.00	\$ 2,360,270.83	234,729.17	\$ 2,595,000.00

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MODIFICATION REQUIREMENTS FOR  
AIR GUARD FY-16  
AIR BASE SECURITY AGREEMENT  
CONTRACT NUMBER W912PQ-16-2-1023

MOD# 2

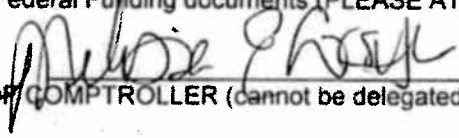
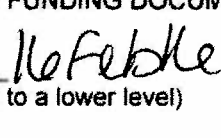
AS OF:

2/16/2016

		CURRENT ALLOTMENT	CHANGE	NEW ALLOTMENT
HANCOCK	100% FEDERAL	75,200.00	266,400.00	341,600.00
	TOTAL FEDERAL		-	
174th AFP:	\$341,600.00			

JUSTIFICATION: This modification increases/decreases the AFP/ALLOTMENT by \$266,400 for the purpose of salaries & benefits.

I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

SIGNATURE OF COMPTROLLER (cannot be delegated down to a lower level)

DATE:

MODIFICATION REQUIREMENTS FOR AIR GUARD FY16 AIR BASE SECURITY AGREEMENT CONTRACT NUMBER W912PQ-XX-2-1023				
<b>MOD #02</b>				AS OF: 2/19/2016
LOCATION	PERCENTAGE	CURRENT ALLOCATION	CHANGE +/-	REVISED ALLOCATION
GABRESKI	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP				
HANCOCK	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP				
NIAGARA FALLS	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP				
STRATTON	100% FEDERAL	127,000.00	450,200.00	577,200.00
	TOTAL FEDERAL	127,000.00	450,200.00	577,200.00
	GRAND TOTAL	127,000.00	450,200.00	577,200.00
AFP				
STEWART	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP				
GRAND TOTAL (ALL BASES)	100% FEDERAL			-
	TOTAL FEDERAL	-	-	-
	GRAND TOTAL	-	-	-
AFP TOTAL:		\$0.00		

JUSTIFICATION: FY16 Appendix 23 MOD 2. The purpose of this MOD is to increase the funding by \$450,200. I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

  
 James M Roth, 109 AW COMPROLLER  
 DATE: 19 FEB 16

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**MODIFICATION REQUIREMENTS FOR**  
**AIR GUARD FY-16**  
**AIR BASE SECURITY AGREEMENT**  
**CONTRACT NUMBER W912PQ-16-2-1023**


**MOD #1**

**AS OF:**

**3/4/2016**

		<b>CURRENT ALLOTMENT</b>	<b>CHANGE</b>	<b>NEW ALLOTMENT</b>
<b>STEWART</b>	100% FEDERAL	189,300.00	671,100.00	860,400.00
	<b>TOTAL FEDERAL</b>	<b>189,300.00</b>	<b>671,100.00</b>	<b>860,400.00</b>
<b>105th AFP:</b>				

JUSTIFICATION: This modification increases the AFP/ALLOTMENT by \$671,000 for the purpose of remaining FY16 funding. I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).



SIGNATURE OF COMPTROLLER (cannot be delegated down to a lower level)

DATE: 3 March 2016

**MODIFICATION REQUIREMENTS FOR  
AIR GUARD FY-16  
AIR BASE Security Forces PROGRAM MANAGEMENT  
CONTRACT NUMBER W912PQ-16-2-1023**

LOCATION	FUNDING CATEGORIES	Initial		AS OF:	3/3/2016
		VALIDATED FUNDING (AFP)	CURRENT ALLOCATION (ALLOTMENT)	CHANGE +/-	REVISED ALLOCATION (ALLOTMENT)
GABRESKI	<b>CAPPED SALARY</b>	\$ -			
FEDERAL	VALIDATED - SALARY 100%	\$ 1,011,500.00	113,600.00	537,200.00	650,800.00
	FRINGE BENEFIT 100%	\$ 165,000.00	65,900.00	99,100.00	165,000.00
	OTHER THAN PS 100%				-
	CPP	\$ 2,836.00			-
	<b>TOTAL FEDERAL</b>	<b>\$ 1,179,336.00</b>	<b>\$ 179,500.00</b>	<b>636,300.00</b>	<b>\$ 815,800.00</b>
	<b>IKA</b>				

JUSTIFICATION: This modification is to reflect the funding provided FAD # 36

I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

**JOHNSON.BRIAN.PA  
TRICK.1024605147**

Digitally signed by  
JOHNSON.BRIAN.PATRICK.1024605147  
DN: c=US, o=U.S. Government, ou=DoD,  
ou=PKI, ou=USAF,  
cn=JOHNSON.BRIAN.PATRICK.1024605147  
Date: 2016.03.03 16:54:45 -0500

Major Brian P. Johnson, Comptroller, NYANG

DATE: 3/3/2016